

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of)
Kishore Swaminathan et al.) Examiner: Not Assigned
)
) Art Unit: 2772
Application No. 09/520,939)
) Atty. Docket No. AND1P532
Filed: 3/8/2000)
) Date: 12/13/00
For: System, Method And Article Of Manufacture)
For A Knowledge Management Tool)
_____)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on 12/13/00

Signed: 
Kimberly Main

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.56 AND 1.97(c)

Assistant Commissioner for Patents
Washington, DC 20231

Dear Sir:

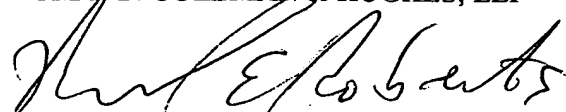
The references listed in the attached PTO Form 1449, copies of which are attached, may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§ 1.56 and 1.97. The Examiner is requested to make these references of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is believed to be filed before the mailing date of a first Office Action on the merits. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 50-0797 (Order No. AND1P532).

Respectfully submitted,

HICKMAN COLEMAN & HUGHES, LLP

A handwritten signature in black ink, appearing to read "Raymond E. Roberts", is written over the printed name.

Raymond E. Roberts

Reg. No. 38,597

P.O. Box 52037
Palo Alto, CA 94303-0746
Telephone: (408) 558-9950

Form 1449 (Modified) Information Disclosure Statement By Applicant (Use Several Sheets if Necessary)	Atty. Docket No.	Application No.:
	AND1P532	09/520,939
	Applicant:	
	Kishore Swaminathan et al.	
	Filing Date:	Group Art Unit:
	3/8/00	2772

U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A	5,608,900	3/4/97	Dockter et al.	395	613	
	B	5,794,257	8/11/98	Liu et al	707	501	
	C	6,012,055	1/4/00	Campbell et al.	707	5	
	D	6,018,735	1/25/00	Hunter	707	5	
	E						
	F						
	G						
	H						
	I						
	J						
	K						

Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	L							
	M							
	N							
	O							
	P							

Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	R	
	S	
	T	
Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, Washington, D.C. 20231, on

25 November 2002

Date of Deposit

David W. Okey, Reg. No. 42,959

Name of Applicant, Assignee or
Registered Representative

D. W. Okey

Signature

25 November 2002

Date of Signature

Our Case No.: 10022/126

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Swaminathan et al.

Serial No.: 09/520,939

Filing Date: March 8, 2000

For: Knowledge Management Tool

Examiner: Cam-Linh T. Nguyen

Group Art Unit No.: 2171

FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this First Supplemental Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the

Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

Foreign Patent Documents

Document No.	Date	Country
11053392	02/1999	Japan

Other Art

Angelica, Amara D., "Business Data Finds a Home on Custom Portals," *TechWeek*, February 22, 1999, pgs. 1-4.

Davies, N.J., et al., "Knowledge sharing agents over the World Wide Web," *BT Technology Journal*, Vol. 16, No. 3, July 1, 1998, pgs. 104-109.

Search Report, dated November 4, 2002, for corresponding international application PCT/US01/06770.

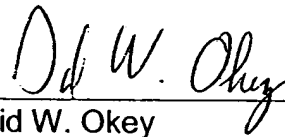
In accordance with 37 C.F.R. § 1.97(g),(h), this First Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This First Supplemental Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b)

In accordance with 37 C.F.R. § 1.97(e)(1), Applicant(s) hereby certify that each item of the information disclosed in this First Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to filing of the present statement, as evidenced by the date of the enclosed search report.

Applicant(s) respectfully request that the listed documents be made of record in
the present case.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "D. W. Okey", is written over a horizontal line.

David W. Okey
Registration No. 42,959
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE
P.O. Box 10395
Chicago, IL 60610
(312) 321-4200

FORM PTO-1449	SERIAL NO. 09/520,939	CASE NO. 10022/126
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE March 8, 2000	GROUP ART UNIT 2171
(use several sheets if necessary)	APPLICANT(S): Swaminathan et al.	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	B1	11053392	Japan	02/1999		X*

*Abstract only

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	B2	Angelica, Amara D., "Business Data Finds a Home on Custom Portals," <i>TechWeek</i> , February 22, 1999, pgs. 1-4.
	B3	Davies, N.J., et al., "Knowledge sharing agents over the World Wide Web," <i>BT Technology Journal</i> , Vol. 16, No. 3, July 1, 1998, pgs. 104-109.
	B4	Search Report, dated November 4, 2002, for corresponding international application PCT/US01/06770.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, Washington, D.C. 20231, on

February 19, 2003

Date of Deposit

David W. Okey, Reg. No. 42,959

Name of Applicant, Assignee or
Registered Representative

David W. Okey

Signature

Feb 19, 2003

Date of Signature

Our Case No.: 10022/126

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Swaminathan et al.

Serial No.: 09/520,939

Filing Date: March 8, 2000

For: Knowledge Management Tool

Examiner: Cam-Linh T. Nguyen

Group Art Unit No.: 2171

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Second Supplemental Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

U.S. Patent Documents

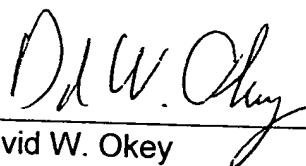
Document No.	Date	Name
5,864,871	01/1999	Kitain et al.
6,275,229 B1	08/2001	Weiner et al.

In accordance with 37 C.F.R. § 1.97(g),(h), this Second Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This information disclosure statement is being filed after the mailing of an office action on the merits, and is accompanied by the fee as set forth in § 1.17(p), a check for \$180.00 (enclosed). Should any additional fees be deemed necessary under 37 C.F.R. §§ 1.16 to 1.21 for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



David W. Okey
Registration No. 42,959
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE
P.O. Box 10395
Chicago, IL 60610
(312) 321-4200

FORM PTO-1449	SERIAL NO. 09/520,939	CASE NO. 10022/126
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE March 8, 2000	GROUP ART UNIT 2171
(use several sheets if necessary)	APPLICANT(S): Swaminathan et al.	

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	C1	5,864,871	01/1999	Kitain et al.		
	C2	6,275,229 B1	08/2001	Weiner et al.		

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.